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I hereby certify that this correspondence is being filed electronically with the U.S. Patent and Trademark Office on the below date:

Date: January 4, 2008 Name: Tadashi Horie (Reg. No. 40,437) Signature: 

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Nobuyuki Watanabe et al.

Appln. No.: 10/509,545

Filed: September 24, 2004

For: TRANSMISSION METHOD,
TRANSMISSION SYSTEM, AND
TERMINAL UNIT

Attorney Docket No: 9683/205

Examiner: To be assigned

Art Unit: 3621

Confirmation No. 5858

FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

OTHER ART – NON PATENT LITERATURE DOCUMENTS

Office Action issued October 16, 2007 in Japanese Patent Application No. 2003-581004 (with translation)

Li Gong, "Java2 Platform Security", Japan, Pearson Education, Inc., November 30, 2000, 1st edition, pp 41-44 and 123-138 (ISBN: 4-89471-193-1) (with conscious explanation)

ASCII Book Editorial Department, "i mode Java programming – Standalone Applications: Newly-Revised Edition", Japan, ASCII Corporation, May 21, 2001, 1st Edition, pp 19, 20 and 40-52 (ISBN: 4-7561-3790-3) (with conscious explanation)

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). Pursuant to the undersigned attorney's obligation and duties under 37 C.F.R. §§ 1.56 and 1.98(a)(3) and (c), either English language abstracts, partial translations, or full translations are

included for patent documents which are not in English for the express purpose of providing a concise explanation of the references to the Patent and Trademark Office with the opportunity to evaluate the same. Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

Reference E1 is an Office Action issued in the counterpart Japanese application. References E2 and E3 were cited in the Office Action. References E1-E3 are in Japanese. Applicants are submitting an English translation for Reference E1. For References E2 and E3, Applicants are submitting a concise explanation of the relevant part for each of References E2 and E3.


By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

For purposes of 37 C.F.R. §1.704(d), the Applicant or Applicants certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement (a copy of any foreign communication first citing a listed reference is attached for the Examiner's reference).

The Applicant or Applicants have calculated no fee to be due in connection with the filing of this Information Disclosure Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Information Disclosure Statement to a deposit account, as authorized in the Transmittal accompanying this Information Disclosure Statement.

Respectfully submitted,

January 4, 2008
Date


Tadashi Horie (Reg. No. 40,437)